



January 13, 2026

Curtis Anderson, Director  
Beltrami County Health and Human Services  
[curtis.anderson@co.beltrami.mn.us](mailto:curtis.anderson@co.beltrami.mn.us)

Your 2026 – 2027 County and Tribal Child Care Fund Plan for the Child Care Assistance Program has been approved by the Department of Children, Youth, and Families.

Any future changes to your agency's plan must be submitted as an amendment to your Policy Specialist.

If we have misconstrued or overlooked some provision of the amendment that is inconsistent with the law governing the Child Care Assistance Program or Department of Children, Youth, and Families policies, we reserve the right to require an amendment to the plan.

Thank you for your support and cooperation in making the Child Care Assistance Program a successful support for families and children in Minnesota. If you have questions or need technical assistance, please contact your Policy Specialist or [dcyf.ccap@state.mn.us](mailto:dcyf.ccap@state.mn.us).

Sincerely,

Tikki Brown  
Commissioner  
Department of Children, Youth, and Families

CC: Bryce Brittain, [Bryce.brittain@co.beltrami.mn.us](mailto:Bryce.brittain@co.beltrami.mn.us)  
Chad Schroth, [chad.schroth@co.beltrami.mn.us](mailto:chad.schroth@co.beltrami.mn.us)

# Administration of the Child Care Assistance Program

## 2026-2027 Beltrami County and Tribal Child Care Fund Plan

### Administration of the Child Care Assistance Program

**Background:** Counties and Tribes must submit a biennial Child Care Fund Plan. Child Care Assistance Program rules and laws allow counties and Tribes to establish some local policies and procedures. These local policies and procedures, when included in this plan and approved by the commissioner, are considered county/Tribal policy and are used to support agency decisions during appeals. The Department of Children, Youth, and Families (DCYF) will review and approve County and Tribal Child Care Fund Plans. Counties and Tribes will receive approval letters for their Child Care Fund Plans from the commissioner. This plan period begins on January 1, 2026.

**Minnesota Statute, section 142E.09, subdivision 3**

Steps to complete the plan process:

#### Step One – Review the plan

Review this plan. Determine if there are changes compared to previous plans or if there are new policies or procedures. Involve other staff as needed.

#### Step Two – Draft the plan responses

Note these guidelines:

- Identify all optional policies; see question VIII.A.
- Do not answer questions by stating that the reviewer should refer to a previous plan.
- Submit all agency-developed documents; see question VIII.B.
- Answer each question. Incomplete plans will be returned.

#### Step Three – Inform and involve community partners

**DCYF encourages counties and Tribes to develop optional policies in coordination with local partners.**

This may include: parents, child care providers, culturally specific service organizations, Child Care Aware agencies, interagency early intervention committees, and agencies involved in the provision of care and education to young children. Consult with other agency staff such as fraud investigators and income maintenance and employment services staff.

#### Step Four – Share the draft plan

Prior to submission, you must make copies of the proposed plan available to the public and allow sufficient time for public review and comment. See question II.D of this plan; describe methods used to make the plan available to the public, particularly to those members listed in II.D.

#### Step Five – Submit the plan by the deadline (Friday, September 19, 2025)

#### Amendments to plans

A county or Tribe may amend their Child Care Fund Plan at any time. If approved by the commissioner, the amendment is effective on the date requested by the agency unless a different effective date is set by the commissioner. Plan amendments must be approved or denied by the commissioner within 60 days after receipt of the amendment request. The department reserves the right to direct a county or Tribe to amend its Child Care Fund Plan if the plan is no longer in compliance with Minnesota Statutes, Minnesota Rules, or federal law.

**Minnesota Rules, part 3400.0150, subpart 3**

Amendments include changes in contacts, optional policies, new or revised forms and notices. Amendments can be sent in letter form or by email to the agency's child care assistance policy specialist.

Return completed plans by **Friday, September 19, 2025** to:

[DCYF.CCAP@state.mn.us](mailto:DCYF.CCAP@state.mn.us)

# Administration of the Child Care Assistance Program

## I. Child Care Assistance Program contacts

### A. County or Tribal agency

COUNTY OR TRIBE NAME Beltrami	GENERAL PHONE NUMBER 218-333-8300	EXTENSION	GENERAL FAX NUMBER 218-333-4150
AGENCY'S FULL NAME Beltrami County Health and Human Services		CCAP INTAKE PHONE NUMBER 218-333-8300	EXTENSION
MAIN OFFICE STREET ADDRESS 616 America Ave NW Suite 220		CITY Bemidji	ZIP CODE 56601
MAIN OFFICE MAILING ADDRESS (if different)		CITY	ZIP CODE

### B. County or Tribal branch office (if applicable)

BRANCH NAME	GENERAL PHONE NUMBER	EXTENSION	GENERAL FAX NUMBER	CCAP INTAKE PHONE NUMBER	EXTENSION
ADDRESS OF BRANCH OFFICE		CITY		ZIP CODE	

### C. Agency contact people

This contact information is required.

#### 1. County or Tribal director

FIRST NAME Curtis		LAST NAME Anderson II	
PHONE NUMBER 218-333-4199	EXTENSION	EMAIL ADDRESS curtis.anderson@co.beltrami.mn.us	
ADDRESS 616 America Ave NW Suite 220		CITY Bemidji	ZIP CODE 56601

#### 2. County or Tribal CCAP administrative contact

Who is your lead contact for the Child Care Assistance Program? This contact will receive policy bulletins, memos, and other high level communications. You may have more than one contact.

FIRST NAME Curtis		LAST NAME Anderson	
TITLE Economic Assistance Division Director		PHONE NUMBER 218-333-4199	EXTENSION
EMAIL ADDRESS curtis.anderson@co.beltrami.mn.us		SIR EMAIL ADDRESS X104CTA@cty.dhs.state.mn.us	

FIRST NAME Bryce	LAST NAME Brittain		
TITLE Economic Assistance Program Manager		PHONE NUMBER 218-441-2242	EXTENSION
EMAIL ADDRESS bryce.brittain@co.beltrami.mn.us		SIR EMAIL ADDRESS X104714@cty.dhs.state.mn.us	
FIRST NAME Chad	LAST NAME Schroth		
TITLE Team Lead		PHONE NUMBER 218-441-2246	EXTENSION
EMAIL ADDRESS chad.schroth@co.beltrami.mn.us		SIR EMAIL ADDRESS X104648@cty.dhs.state.mn.us	

### 3. County or Tribal client access contact

Who is your lead contact person who has contact with families receiving CCAP? You may have more than one contact.

FIRST NAME Bryce	LAST NAME Brittain		
TITLE Economic Assistance Program Manager		PHONE NUMBER 218-441-2242	EXTENSION
EMAIL ADDRESS bryce.brittain@co.beltrami.mn.us		SIR EMAIL ADDRESS X104714@cty.dhs.state.mn.us	
FIRST NAME Chad	LAST NAME Schroth		
TITLE Team Lead		PHONE NUMBER 218-441-2246	EXTENSION
EMAIL ADDRESS chad.schroth@co.beltrami.mn.us		SIR EMAIL ADDRESS X104648@cty.dhs.state.mn.us	

### 4. Management of waiting list contact

Who is your waiting list contact person? Only identify one contact.

FIRST NAME Chad	LAST NAME Schroth		
TITLE Team Lead		PHONE NUMBER 218-441-2246	EXTENSION
EMAIL ADDRESS chad.schroth@co.beltrami.mn.us		SIR EMAIL ADDRESS X104648@cty.dhs.state.mn.us	

### 5. Provider billing contact

Who is your billing contact person for questions about billing and payments? Only identify one contact.

FIRST NAME Mary	LAST NAME Beck		
TITLE Account Tech II		PHONE NUMBER 218-333-4132	EXTENSION

EMAIL ADDRESS mary.beck@co.beltrami.mn.us	SIR EMAIL ADDRESS X104820@cty.dhs.state.mn.us
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## 6. Data Integrity Contact

Who is the contact person for coordination of corrections to MEC<sup>2</sup> case data? For example, primary/secondary provider designation corrections and ongoing case reporting (overrides, accuracy reviews, etc.). You must provide a SIR email address. Only provide one contact.

FIRST NAME Bryce	LAST NAME Brittain		
TITLE Economic Assistance Program Manager		PHONE NUMBER 218-441-2242	EXTENSION
EMAIL ADDRESS bryce.brittain@co.beltrami.mn.us	SIR EMAIL ADDRESS X104714@cty.dhs.state.mn.us		

## 7. Legal nonlicensed provider monitoring contact

Who is the contact person for questions about legal nonlicensed annual monitoring visits? Only provide one contact.

FIRST NAME Bethany	LAST NAME Kemmer		
TITLE Case Aide		PHONE NUMBER 218-333-8318	EXTENSION
EMAIL ADDRESS bethany.kemmer@co.beltrami.mn.us	SIR EMAIL ADDRESS X104BAB@cty.dhs.state.mn.us		

## 8. Case Review Error Findings Contact

Who is the contact person that should receive results of case reviews? This includes letters explaining errors and correct certificates when no errors exist. You must provide a SIR email address. You may have more than one contact.

FIRST NAME Curtis	LAST NAME Anderson		
TITLE Economic Assistance Director		PHONE NUMBER 218-333-4199	EXTENSION
EMAIL ADDRESS curtis.anderson@co.beltrami.mn.us	SIR EMAIL ADDRESS X104CTA@cty.dhs.state.mn.us		
FIRST NAME Bryce	LAST NAME Brittain		
TITLE Economic Assistance Program Manager		PHONE NUMBER 218-441-2242	EXTENSION
EMAIL ADDRESS bryce.brittain@co.beltrami.mn.us	SIR EMAIL ADDRESS X104714@cty.dhs.state.mn.us		
FIRST NAME Chad	LAST NAME Schroth		
TITLE Team Lead		PHONE NUMBER 218-441-2246	EXTENSION
EMAIL ADDRESS chad.schroth@co.beltrami.mn.us	SIR EMAIL ADDRESS X104648@co.beltrami.mn.us		

## D. Subcontracted services

Counties and Tribes may contract with an agency to administer all or part of their Child Care Assistance Program.

Minnesota Rules, part  
3400.0140, subpart 7

If you are planning any changes in the administration of your CCAP, tell your CCAP policy specialist immediately. This could involve subcontracting or mergers of counties. Failing to notify DCYF may delay the changes that you are planning to make.

Does your county or Tribe contract with an agency for any part of the administration of CCAP? ☐ Yes ☒ No

Do not include cooperative agreements with employment and training service providers that work with MFIP/DWP families to develop and approve the employment service plan.

## II. Collaboration and outreach

**A.** How do you share information about the Child Care Assistance Program so that individuals, child care providers, social service agencies, etc. are aware of child care assistance? ([Minnesota Rules, part 3400.0140, subpart 2](#))

General CCAP informational posters and pamphlets are available in all Health & Human Services waiting rooms as well as disseminated throughout the community. We collaborate around CCAP internally and externally with Beltrami County Workforce Impact, Social Services, Public Health, Rural MN CEP, Minnesota Chippewa Tribe, Leech Lake Employment Services, Red Lake Nation, Community Resource Connections, Bemidji Area Boys and Girls Club and the Blackduck and Kelliher Resource Centers. We provide information during MFIP and Child Care Provider Orientation as well as at various community groups on an ongoing basis.

**B.** Agencies are required to work with other public and private community resources that provide services to families to maximize community resources for families with young children. These include, but are not limited to, Child Care Aware, School Districts, Early Learning Scholarships, Head Start, and Early Childhood Screening. List the community programs your agency works with. ([Minnesota Statute, section 142E.09, subdivision 3 \(1\)](#))

Beltrami County has a network of non-profits that provide community based programs for families and children that include: Kids and Company summer and after school programs, Early Childhood Family Education, Adult Basic Education, Mahube-Otwa Child Care Parent Aware Partnership, Bi-County Community Action Program, and Community Resource Connections.

**C.** How do you work with the community resources above to maximize public and private community resources for families with young children? Include the methods used to share information, responsibility, and accountability among these community resources. For example, partnering with Community Action agencies and local Head Start to help families access early childhood services and economic resources.

Each of these agencies will receive a copy of the Beltrami County Child Care Assistance Policy and contact information for questions. Beltrami County will include a child care assistance packet as well as direction on how to apply for childcare assistance through Beltrami County. This information is sent out biennially and as requested. These agencies are often part of community groups that receive information regarding CCAP directly from county staff.

**D.** Copies of the proposed plan must be made available to the public, including parents, child care providers, culturally specific service organizations, Child Care Aware of Minnesota agencies, interagency early intervention committees, potential collaborative partners and agencies involved in the provision of care and education to young children. You must allow time for public review and comment prior to submitting this plan to DCYF for approval. ([Minnesota Statute, section 142E.09, subdivision 3 \(2\)](#)).

1. Describe how you make copies of the **draft plan** available to the public, including how you plan to notify the public about the existence of this draft and ways the public can provide comment.

We will post this draft plan to our county website and send it out to partner agencies for review and comment.

2. When was your draft plan available for public review?

07/16/25 -08/16/25

E. After your plan is approved by DCYF, do you post your approved plan on your website? ☒ Yes ☐ No

## III. Eligibility

### A. Education plans outside an Employment Plan

Prior to completing this section, review [Minnesota Rules, part 3400.0040](#) and [Minnesota Statutes 142E.12 Subdivision 3](#) to ensure your policies are in compliance. Identify agency developed documents used for education plan requests and notices used to communicate approval or denial in each response and list these in the agency developed document section VIII.B.

#### 1. High school diploma/GED high school equivalency diploma

1a. Do you approve all high school and GED programs? ☐ Yes ☒ No

If no, what program(s) would you deny? Identify how you communicate the denial to the family; if an agency developed notice is used, list in section VIII.B.

A program that does not meet MDE requirements would be denied. No MDE approved program would be denied unless the applicant is not maintaining satisfactory progress as determined by the institution as referenced in Beltrami County's Criteria for School Plan Approval document provided.

1b. Explain why you would deny a program. Include data and facts that support why students should not receive CCAP while attending.

Beltrami County would deny a program that does not meet MDE requirements as an unofficial or non-recognized GED diploma will likely not be accepted by employers, colleges, or the military, or if the applicant is not maintaining satisfactory progress as determined by the institution.

#### 2. Remedial and basic skills courses (includes Adult Basic Education and English as a Second Language)

2a. Do you approve all remedial and basic skills courses? ☐ Yes ☒ No

If no, what program(s) would you deny? Identify how you communicate the denial to the family; if an agency developed notice is used, list in section VIII.B.

We would deny any remedial or basic skill courses that are not part of a high school / GED program. Every Application that is denied receives a letter of denial.

2b. Explain why you would deny a program. Include data and facts that support why students should not receive CCAP while attending.

See Attachment A. An education or training plan that reasonably lead to employment and family self-sufficiency upon completion is the goal. A denial notice will be provided to the Recipient if denied.

#### 3. Post-secondary programs

3a. Do you approve all post-secondary programs (including associate degrees, bachelor degrees, certificate programs and technical degrees)?

☐ Yes ☒ No

If no, what program(s) would you deny? Identify how you communicate the denial to the family; if an agency developed notice is used, list in section VIII.B.

Beltrami County would deny a program of study that does not reasonably lead to employment and family self-sufficiency upon completion. By not having this outcome, students undertake a Financial Burden as they take on substantial debt in anticipation of better financial prospects after graduation. If a program does not provide marketable skills, graduates may struggle to find jobs that allow them to repay their loans, leading to prolonged financial instability. Every Application that is denied receives a letter of denial.

**3b.** Explain why you would deny a program. Include data and facts to support why students should not receive CCAP while attending.

Beltrami County would deny a program of study that does not reasonably lead to employment and family self-sufficiency upon completion. By not having this outcome, students undertake a Financial Burden as they take on substantial debt in anticipation of better financial prospects after graduation. If a program does not provide marketable skills, graduates may struggle to find jobs that allow them to repay their loans, leading to prolonged financial instability.

Wasted Time and Effort: Pursuing a degree that offers no clear career path results in a significant investment of time and effort that doesn't yield the expected return on investment, leading to frustration and underemployment.

"Scarring" Effect: Graduates who are underemployed upon entering the labor market are likely to remain so for years, as their initial job history can make it difficult to transition into jobs that require a college degree later on.

Lower Satisfaction and Health Outcomes: Individuals with degrees that lead to better job opportunities typically report higher job satisfaction, better health, and a greater sense of confidence and independence, which are less likely to be achieved without a clear career path.

**3c.** Describe your criteria and procedures for approving a post-secondary program outside an Employment Plan.

The procedures for approving an acceptable course of study is the likelihood of the participant to successfully complete the course of study that will lead to full-time employment along with meeting long-term self-sufficiency needs.

**4. How do you confirm satisfactory progress as determined by the institution at redetermination?**

- ☒ Institution confirms the student is making satisfactory progress.
- ☐ Student remains enrolled in program.

## **B. Basic Sliding Fee Waiting List management**

### **1. Priorities for service**

Have you established sub-priorities for the third priority Basic Sliding Fee Waiting List?

- ☒ Yes ☐ No

Identify the additional priorities and rationale for determining those additional priorities. ([Minnesota Rules, part 3400.0140, subpart 10](#))

Priority 5a - Single Parent, Working; Priority 5b - Two Parent Working; Priority 5c - Single Parent, Job Search; Priority 5d - Two Parent, Job Search; Priority 6a - Single Parent, School; Priority 6b - Two Parent, School.

Two parent households have more resources available than one-parent households. Single parents only have one income that causes their gross annual income to be less than two parent households. Working families have priority over students, which is consistent with work based employment services procedures.

## 2. How does your agency do a preliminary determination before adding families to the waiting list?

- ☐ Verbally collect family size, income, and type of eligible activity
- ☒ Family size, income and type of eligible activity collected from the application
- ☐ Agency form used to collect family size, income and type of eligible activity (list in section VIII.B)
- ☐ Other

## 3. When adding a family to your Basic Sliding Fee Waiting List, you must inform the family of the priority group determination, and the number of families on the waiting list or an estimated time that they will spend on the waiting list before reaching the top. (CCAP Policy Manual, Chapter 4.3.12.12)

How do you notify a family they were placed on the waiting list?

- ☒ The family is sent [DHS-7883A \(You have been placed on the Child Care Assistance Program \(CCAP\) waiting list\)](#)
- ☐ The family is sent a notice developed by our agency (list this notice in section VIII.B Agency developed documents)

## 4. Six month review of Basic Sliding Fee Waiting List

Minnesota Statute, section  
142E.04, subdivision 2

**4a.** You must review and update your waiting list at least every six months. How are families notified of this six month review?

- ☒ The family is sent [DHS-7883B \(Child Care Assistance Program \(CCAP\) waiting list update\)](#)
- ☐ The family is sent a notice developed by our agency (list this notice in section VIII.B Agency developed documents)

**4b.** Describe your agency's process for reviewing and updating the waiting list. If your agency does not currently have a waiting list, describe your process in the event your agency does start a waiting list.

Every 3 - 6 months and according to the request date. Families are notified by letter of their review. Families that do not respond are removed from the waiting list. Families are notified in the initial letter that if they do not respond within 15 days of the letter they will be removed from the waiting list. Beltrami County currently does not have a waiting list. If one were started it would be started and monitored by our waiting list contact.

**4c.** How are families notified they are removed from the waiting list for not responding to the six month review?

- ☐ Families are sent an additional notice
- ☒ Six month review letter includes notification they will be removed from the waiting list if they don't respond

## 5. Applications mailed to families on the Basic Sliding Fee Waiting List

Applications must be sent to families on the waiting list when there is funding available for Basic Sliding Fee.

**5a.** When do you remove the family from the waiting list?

- ☐ When the application is sent to the family. The notice sent with the application informs the family that their name has been removed from the waiting list.
- ☒ When you receive the completed application. If no application is received, the family is removed at the end of the time period allowed for returning the application. The notice sent with the application informs the family that their name will be removed from the waiting list if the application is not received by the deadline.

**5b.** How do you notify a family that their name was removed from the waiting list?

- ☒ The family is sent [DHS-7883C \(Child Care Assistance Program \(CCAP\) funds available\)](#)
- ☐ The family is sent a notice developed by our agency (list this notice in section VIII.B Agency developed documents)

## 6. Temporarily ineligible families on the Basic Sliding Fee Waiting List

When a family reaches the top of the waiting list and is temporarily ineligible, leave the family at the top of the waiting list for 90 days, according to priority group and serve the applicant who is next on the waiting list.

Minnesota Rules, part  
3400.0040, subpart 17

Do you have an alternate procedure that extends the timeframe beyond 90 days?

☐ Yes ☒ No

## C. Child care for school release days

1. How do case workers authorize care for school release days in your agency?

CCAP Policy Manual,  
Chapter 9.1.3

- ☒ Authorize actual hours needed and increase or decrease hours based on known school release days.
- ☐ Authorize the hours care is needed when there are no school release days.
- ☐ Authorize the highest number of hours care is needed with the provider.
- ☐ Other method.

2. How do you communicate authorized hours for school release days to parents, providers and billing workers?

The school schedule is available to the authorizing workers and hours are adjusted as needed for release days. Service authorizations and billing vouchers are mailed to the parents and providers. Billing workers have access to MEC2 to see the service authorization and vouchers. Eligibility Specialist and Billing Worker communicate to approve accurate hours.

## D. Child care for families with flexible schedules

1. How do case workers authorize care for families with flexible schedules in your agency?

CCAP Policy Manual,  
Chapter 9.1.6

- ☐ Authorize the typical number of hours needed and when the schedule requires additional care, the provider bills for the additional care.
- ☒ Authorize the minimum number of hours care is needed and when the schedule requires additional care, the provider bills for the additional care. Payment is made by increasing the number of hours listed in the "total hours of care authorized" field on the billing window or by creating a new Service Authorization.
- ☐ Authorize the highest number of hours care is needed with the provider. The provider is expected to bill only for the time that care is needed.
- ☐ Other method.

2. How do you communicate scheduled and authorized hours to parents, providers and billing workers?

Our Team uses the comment section on a Service Authorization, a special memo or through direct conversations (with case notes to document) between parents, providers and staff.

## E. Authorizing care for clients with Employment Plans

Job counselors and CCAP workers must communicate child care needs for clients with Employment Plans. Guidance is found in [CCAP Policy Manual, Chapter 9.1.5](#).

1. CCAP workers must obtain an activity schedule prior to authorizing care. Who is responsible for obtaining the schedule information from the client?

- ☒ Job counselor provides schedule or days and times that child care is needed to CCAP worker.
- ☐ CCAP worker obtains schedule from client.
- ☐ Other method.

How do CCAP workers receive schedule information for Employment Plan activities?

Our CCAP Workers are also Employment Service Counselors so they create and maintain their own Employment Plans in Workforce 1 and the input that information within MEC2. The CCAP plan remains up to date as they communicate with their client and information is shared with the Eligibility Specialist for MFIP/DWP and SNAP cases. For those cases that are serviced by a difference employment service agency, DHS status update form 3165 and copies of the employment plan and any subsequent updates to that plan. The CCAP worker requests schedules from the parent if the employment counselor does not have it.

2. How do you communicate required information between job counselors and CCAP workers (email, fax, case notes, verbal, DHS-7054, etc.)?

Our CCAP Workers are also Employment Service Counselors so they create and maintain their own Employment Plans in Workforce 1 and the input that information within MEC2. The CCAP plan remains up to date as they communicate with their client and information is shared with the Eligibility Specialist for MFIP/DWP and SNAP cases. or those cases that are serviced by a difference employment service agency, DHS status update form 3165 and copies of the employment plan and any subsequent updates to that plan. The CCAP worker requests schedules from the parent if the employment counselor does not have it.

## F. Extending redetermination dates beyond 12 months

Redeterminations may be extended beyond 12 months for a family that has a caregiver under the age of twenty-one, who does not have a high school or general equivalency diploma (GED), and is a student in a school district or another similar program that provides or arranges child care, parenting, social services, career and employment supports and academic support to achieve high school graduation.

An agency may identify other reasons to extend redetermination dates beyond 12 months. For example, an agency may extend redetermination dates to balance out a workload. See [CCAP Policy Manual, Chapter 10.3](#) and [Minnesota Rules, part 3400.0180, subpart 1](#).

1. Does your agency extend redetermination dates beyond 12 months?

☐ Yes ☒ No

## IV. Policies applicable to legal nonlicensed providers

### A. Annual monitoring and training

Any legal nonlicensed provider with an open Service Authorization for a child who is not related to them must complete Supervising for Safety training within 90 days of the authorization start date and have an annual monitoring visit. See [CCAP Policy Manual Chapter 11.9](#) and Minnesota Rules, part [3400.0020, subpart 37a](#) and [3400.0120, subparts 6 and 9](#).

1. How does your agency track legal nonlicensed providers who have an open Service Authorization for unrelated children?

- ☒ All legal nonlicensed providers are tracked on a spreadsheet. Spreadsheet includes date that unrelated child Service Authorization began, due date for Supervising for Safety training, and due date of annual monitoring visit. Spreadsheet is checked every month to determine if training or an annual monitoring visit is due.

☐ Other

2. What are your agency's internal processes and procedures for completing annual monitoring visits?

- ☒ Agency contacts the provider at least 30 days prior to the date the annual monitoring visit is due. Agency schedules a time to visit. Agency visits the provider and reviews the [Legal Nonlicensed Provider Monitoring Checklist \(DHS-7867\)](#) with the provider. Agency submits the [Monitoring Visit Summary \(DHS-7867A\)](#) to DCYF within 10 days of the visit and notify DCYF if the provider fails any items.

☐ Other

**Note:** See [CCAP Policy Manual 11.9.18](#) for the process that agencies must follow when a provider does not demonstrate full compliance with the health and safety policies at the monitoring visit.

## B. Complaints and incidents

### 1. Records of substantiated parental complaints

Within 24 hours of receiving a complaint concerning the health or safety of children under the care of a legal nonlicensed (LNL) provider, an agency must relay the complaint to the agency's child protection agency, county public health agency, local law enforcement, and/or other agencies with jurisdiction to investigate complaints.

Information regarding substantiated complaints must be released following applicable data privacy laws. See [Minnesota Statutes Chapter 13](#). When a report is substantiated, see [Minnesota Rules, part 3400.0140, subpart 6](#), for record retention and provider payment policies.

When complaints are substantiated how do you:

1a. Maintain these records?

All child protection interventions are maintained per rule and statute.

1b. Make this information available to the public when requested?

This is not public data. Upon request information governing substantiated complaints shall be released to the public as authorized under MN Statute 13.

### 2. Aggregate reporting of incidents

At least quarterly, agencies must report to the Minnesota Department of Children, Youth, and Families the aggregate number of deaths, serious injuries, and substantiated maltreatment incidents for children under the care of legal nonlicensed (LNL) providers. See [Minnesota Rules, part 3400.0140, subpart 14](#).

2a. How will you record and maintain accurate counts of incidents that occur in legal nonlicensed settings registered by your agency?

Child Protective Services reports all deaths, serious injuries and substantiated maltreatment incidents involving a LNL provider. If a complaint is substantiated by Child Protection, Beltrami County will keep a record of the substantiated complaint as provided in Minnesota Statutes, section 260E.35, subdivision 6. If a complaint is substantiated by Public Health or we receive criminal activity reports from law enforcement, Beltrami County will keep a record of the substantiated complaint for three years.

## V. Higher rates for providers serving certain populations

Higher rates, above the standard maximum rates, can be paid to providers if approved by the commissioner (up to the provider's charge).

Minnesota Statute,  
section 142E.17,  
subdivision 3

Minnesota Rules,  
part 3400.0130,  
subpart 3 and 3b

CCAP Policy  
Manual,  
Chapter 9.54

### A. Higher rates for providers caring for children in at-risk populations

You may pay higher rates for providers caring for certain populations defined as at-risk in this plan. At-risk means environmental or familial factors exist that may create barriers to a child's optimal achievement such as a federal or state disaster, limited English proficiency in a family, history of abuse or neglect, a determination that the children are at risk of abuse or neglect, family violence, homelessness, age of the mother, level of maternal education, mental illness, development disability, parental chemical dependency or history of other substance use.

1. Do you pay a higher rate for providers caring for children in at-risk populations? ☐ Yes ☒ No

If this information changes, you must notify DCYF and request an amendment to your plan.

## VI. Payment policies

### A. Payment to two providers when a child is sick

When a child is sick and being cared for by a second provider, do you pay both the regular provider that charges an absent day and the second provider that is caring for the child?

☐ Yes ☒ No

Minnesota Statutes,  
section 3400.0110,  
subpart 8

**Note:** If the rate paid for care of sick children exceeds maximum rates, the "rates for care of sick children" must be included in section VIII.A. Additional Agency Optional Policies.

### B. Submission of invoices

MEC<sup>2</sup> PRO is standardized across the State for all providers. If a provider receives an authorization and a billing form for an eligible family, the provider must submit the billing form to the agency within 60 days of the last date of service on the billing form. If the provider shows good cause for the delay you may pay bills submitted after 60 days.

Minnesota Statute, section  
142E.17, subdivision 9

**Note:** Good cause includes agency error; bills submitted late due to agency error can be submitted for one full year from the last date of service on the billing form.

1. What criteria, other than agency error, is included in your definition of good cause for submitting and paying a billing form after 60 days? Check all that apply.

- ☐ Change in provider staffing that results in submitting the bill late.
- ☒ Circumstances outside of provider's control (natural disaster, state of emergency, damage to care setting, mail delay).
- ☐ Other

2. For each criteria under question 1, how many days late would you allow a provider to submit bills for payment (must be between 60 days and 1 year from the last date of service on the billing form)?

During a state of emergency/disaster bills submitted after 60 days but less than one year from last date of service can be paid when the reason for the delay meets the definition of good cause.

Good Cause is defined as events that are out of the control of the provider such as tornado, fire, flood, serious illness of the provider, lost invoices in the mail or agency error. There is no limit on the number of times Good Cause would be granted in these types of situations.

3. Do you require the parent signature on paper billing forms? ☒ Yes ☐ No

3a. When is a parent signature not needed on a paper billing form?

A parent signature may not be needed on a paper billing form if there are unusual circumstances, such as but not limited to the death or incapacity of the parent(s). The case worker, with supervisory review, can approve the provider's billing form without a parent signature in these situations. If there is reason to investigate further the county will do so through an FPI.

### C. Underpayments

1. If you have underpaid according to Child Care Assistance Program policies, do you make corrective payments?

☒ Yes ☐ No

2. Under what circumstances do you make corrective payments? Check all that apply.

- ☒ Agency Errors: Corrective payments are made for one year after the last date of service on the billing form.
- ☒ Provider Corrections: Corrective payments are made for 90 days after the original bill was paid.
- ☒ Family Changes: Corrective payments are made retroactively to the date of the change, not to exceed 90 days from the date the change became known to the agency.
- ☐ Other

## D. Absent day policy

The Child Care Assistance Program limits the number of paid absent days. Payment may exceed absent day limit if at least one parent in the family:

Minnesota Statute,  
section 142E.17,  
subdivision 10

- Is under the age of 21; and
- Does not have a high school or general equivalency diploma; and
- Is a student in a school district or another similar program that provides or arranges for child care, parenting support, social services, career and employment supports, and academic support to achieve high school graduation.

1. Do you allow payment to exceed the absent day limit for children authorized with providers that meet these requirements?

☐ Yes ☒ No

## VII. Program integrity

**A.** Agency case management reviews can be used to determine causes of errors and identify specific policies needing review.

1. Do you conduct case management reviews of CCAP? ☒ Yes ☐ No

If yes, describe the process, including:

- How cases are selected,
- Which staff complete the reviews,
- What forms are used (DHS-5312D is available. If a different form is used, please list form(s) in Section X.B. Agency developed documents and submit with plan),
- How errors are resolved, and
- How staff are informed of correct policy.

We randomly take a sampling form each worker. These reviews are completed by Lead Eligibility Worker. DHS-5316 is the form used for Targeted Case Reviews. Errors are resolved by the worker who made the error to enhance program integrity and accuracy. We also will review targeted cases (i.e. a client calls in with a complaint about their worker or case) and the Lead Worker will again use the form to complete a review.

## VIII. Other information

### A. Additional agency optional policies

Do you have any other policies that apply to the Child Care Assistance Program which are not specifically required by state or federal rule or law? ([Minnesota Rules, part 3400.0140, subpart 1](#)) ([Minnesota Rules, part 3400.0150, subpart 2](#))

N/A

### B. Agency developed documents

- All agency developed forms and notices used for the Child Care Assistance Program must reflect current policy and be approved by DCYF.
- Counties and Tribes must use documents developed by DHS/DCYF for administration of child care assistance.
- Agency developed documents must not duplicate or replace DHS/DCYF documents.
- Local agencies may create supplemental documents subject to DCYF approval.
- Documents must be written using plain language standards and meet other communication guidelines.
- Review forms, notices and documents at least every two years to ensure they reflect current child care assistance policy and laws.

## Document inventory for your agency

Use this table to list all agency developed forms, notices, and documents your agency uses to administer child care assistance. List all documents in the table and submit all forms, notices or written documents including those previously approved.

**Note:** Refer to the DCYF memo announcing this plan for a list of DHS/DCYF created documents required for the Child Care Assistance Program. Do not list or submit DHS/DCYF created documents.

Name of agency developed document	Document reflects current CCAP policy	Status of current document
Criteria for School Plan Approval	<input checked="" type="checkbox"/> Agency assures compliance	<input checked="" type="checkbox"/> DHS/DCYF previously approved - no changes <input type="checkbox"/> DHS/DCYF previously approved - revised <input type="checkbox"/> New document
Initial Education Plan	<input checked="" type="checkbox"/> Agency assures compliance	<input checked="" type="checkbox"/> DHS/DCYF previously approved - no changes <input type="checkbox"/> DHS/DCYF previously approved - revised <input type="checkbox"/> New document
CCAP Student Assessment	<input checked="" type="checkbox"/> Agency assures compliance	<input checked="" type="checkbox"/> DHS/DCYF previously approved - no changes <input type="checkbox"/> DHS/DCYF previously approved - revised <input type="checkbox"/> New document
CC Request for Verifications	<input checked="" type="checkbox"/> Agency assures compliance	<input type="checkbox"/> DHS/DCYF previously approved - no changes <input checked="" type="checkbox"/> DHS/DCYF previously approved - revised <input type="checkbox"/> New document
CCAP Overpayment Worksheet	<input checked="" type="checkbox"/> Agency assures compliance	<input checked="" type="checkbox"/> DHS/DCYF previously approved - no changes <input type="checkbox"/> DHS/DCYF previously approved - revised <input type="checkbox"/> New document

## IX. County and Tribal assurances

Check the designated boxes below to assure compliance.

### A. Child Care Assistance Program (CCAP) Family Information

The county or Tribe is informing parents about the following as required under [Minnesota Rules, part 3400.0035, subpart 1 and subpart 2](#).

- The documentation necessary to confirm eligibility for CCAP
- Waiting list information
- Application procedures
- The family's responsibility to report changes that affect their eligibility.

☒ **County or Tribe assures compliance**

The agency uses the following:

["Parent Acknowledgement When Choosing a Legal Nonlicensed Provider"](#) (DHS-5367) which assures compliance with the following:

- Families rights and responsibilities when choosing a provider

["Paying for child care and more"](#) (DHS-3551) which assures compliance with providing the following information:

- Federal and state child and dependent care tax credits
- Earned income and working family tax credits
- Other programs and services for families through Help Me Connect
- Child Care Assistance Program eligibility requirements

- Information about how to choose a provider
- Availability of special needs rates

☒ **County or Tribe assures compliance and uses DHS-5367 and DHS-3551**

## **B. Child Care Assistance Program (CCAP) Tasks and Timeframes**

The county or Tribe must perform tasks and meet timeframes required to administer the Child Care Assistance Program. These tasks include, but are not limited to:

- Assessing CCAP eligibility
- Processing payments

These tasks and timeframes are required under the Child Care and Development Fund (CCDF), 98.11(a)(3) Administration under Contracts and Agreements, Minnesota Statutes 119B, Minnesota Rules 3400, CCAP Policy Manual, and MEC<sup>2</sup> User Guide.

☒ **County or Tribe assures compliance**

## **C. Child Care Assistance Program (CCAP) Funding**

The county or Tribe is reimbursed administrative dollars as outlined in Minnesota Statutes 142E.02, Subd. 9. In addition to receiving the Basic Sliding Fee allocation, the county or Tribe contributes a fixed local match as outlined in Minnesota Statutes 142E.14, Subd. 1.

The county or Tribe is provided a calendar year Basic Sliding Fee allocation based on Minnesota Statutes 142E.04, Subd. 6. When there is not sufficient funding to serve all eligible non-MFIP families, the county or Tribe manages the Basic Sliding Fee waiting list according to the priorities outlined in Minnesota Statutes 142E.04, Subd. 4.

☒ **County or Tribe assures compliance**

## **D. Child Care Assistance Program (CCAP) Reporting**

[Minnesota Rules part 3400.0140, subpart 14](#)

The county or Tribe is required to submit timely financial, program activity, and provider reports to the Department of Children, Youth, and Families. The reports include, but are not limited to:

- Basic Sliding Fee waiting list
- Override monitoring
- Basic Sliding Fee adjustments

☒ **County or Tribe assures compliance**

## **E. Limited English Proficiency Plan**

[Minnesota Rules part 3400.0150, subpart 2](#)

The county or Tribe has completed a Limited English Proficiency Plan, describing how it serves families with limited English Proficiency.

☒ **County or Tribe assures compliance**

## **F. Child Care Assistance Program (CCAP) Case Reviews**

The county or Tribe ensures access to all needed documents for cases selected for case reviewed performed by the Department of Children, Youth, and Families. The county or Tribe ensure certification and submission of all required documents for the case review will be made by the Director or their delegate.



**County or Tribe assures compliance**